CERTIFICATE OF MAILING

I certify that this document is sent the posited with the U.S. Postal Service as First Class Mail under 37 C.F.R. 1.8 and is addressed to the Commissioner for Patents, PO Box 1450, Alexandria, VA 22313-1450.

Date: 3/17/2004 _

Signature of Person Mailing Correspondence

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PATENT

Applicant(s):

Berardi, et al.

Docket No.:

60655.3900

Serial No.:

10/708,545

Group Art Unit:

Confirmation No.:

TBA

Filed:

March 10, 2004

Examiner:

TBA

2544

Title:

SYSTEM AND METHOD

FOR SECURING RF

TRANSACTIONS USING A

RADIO FREQUENCY

IDENTIFICATION DEVICE

INCLUDING A TRANSACTIONS

COUNTER

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Commissioner For Patents PO Box 1450 Alexandria, VA 22313-1450

Commissioner:

In accordance with the duty of disclosure under 37 C.F.R. §1.56 and pursuant to 37 C.F.R., §§1.97 and 1.98, Applicant hereby notifies the U.S. Patent and Trademark Office of the documents listed on the attached Form PTO/SB/08A. One copy of each cited document is submitted herewith. Applicant respectfully submits that all pending claims are patentable over the foregoing references, alone or in combination. The Examiner is requested to initial the enclosed Form PTO/SB/08A and return a copy thereof to the undersigned.

The items listed on Form PTO/SB/08A may be deemed to be pertinent to the above-identified application and are made of record to assist the Patent and Trademark

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Office in its examination of this application. The Examiner is respectfully requested to fully consider the items and to independently ascertain their teaching.

The submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicants reserve the right to dispute any of the listed documents as prior art during examination. Further, Applicants do not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application. Furthermore, the submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made or that no other material information may exist.

1. []	For each of the following items listed on the enclosed copy of Form PTO/SB/08A
	that is not in the English language, an English language translation of that item or
	a portion thereof or a concise explanation of the relevance of that item is enclosed:
	enclosed.

- 2. [X] Any copy of the items listed on the enclosed copy of Form PTO/SB/08A that is not enclosed with this Information Disclosure Statement was previously cited by or submitted to the Patent and Trademark Office in the prior [X] Parent Application, [] Continuation, [] Divisional or [] Continuation-In-Part application under 37 C.F.R. §1.97, U.S. Serial No. 10/192,488 , filed July 9, 2002 .
- 3. [X] No fee is due under 37 C.F.R. §1.17(p) for this Information Disclosure Statement since it is being filed in compliance with:
 - [] 37 C.F.R. §1.97(b)(1), within three months of the filing date of the above-identified application.
 - [] 37 C.F.R. §1.97(b)(2), within three months of the date of entry into the national stage as set forth in §1.491 in an international application.
 - [X] 37 C.F.R. §1.97(b)(3), before the mailing date of a first Office action on the merits.
- 4. [] No fee is due under 37 C.F.R. §1.17(p) for this Information Disclosure Statement since it is being filed in compliance with 37 C.F.R. §1.97(c), after the period specified in paragraph 3 above but before the mailing date of a final action or a Notice of Allowance (where there has been no prior final action), and is accompanied by one of the certifications pursuant to 37 C.F.R. §1.97(e) set forth in paragraph 8 below.

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Serial No.: 10/708,545 Docket No.: 60655.3900

5. []	since specif	is due under 37 C.F.R. §1.17(p) for this Information Disclosure Statement it is being filed in compliance with 37 C.F.R. §1.97(c), after the period ied in paragraph 3 above but before the mailing date of a final action or a of allowance (where there has been no prior final action):					
	[]	A check in the amount of \$180.00 is enclosed in payment of the fee.					
	[]	Charge the fee to Deposit Account No. 19-2814. A DUPLICATE COPY OF THIS SHEET IS ATTACHED.					
6. []	since of a fi	is due under 37 C.F.R. §1.17(i)(1) for this Information Disclosure Statement it is being filed in compliance with 37 C.F.R. §1.97(d), after the mailing date nal action or a notice of allowance, whichever comes first, but before ent of the issue fee, and is accompanied by:					
	a.	one of the certifications pursuant to 37 C.F.R. §1.97(e) set forth in paragraph 8 below; and					
	b.	the attached petition requesting consideration of this Information Disclosure Statement; and					
	C.	the fee due under 37 C.F.R. §1.17(i)(1) which is paid as set forth in paragraph 9 below.					
7. []		A fee is due under 37 C.F.R. §1.17(i)(1) for this Information Disclosure Statement since it is being filed in compliance with:					
	a. []	37 C.F.R. §1.313(b)(3), after the issue fee has been paid and information cited in this Information Disclosure Statement may render at least one claim unpatentable and is accompanied by the attached Petition To Withdraw Application From Issue;					
	b. []	37 C.F.R. §1.313(b)(5), after the issue fee has been paid and information cited in this Information Disclosure Statement is to be considered in a Continuation application upon abandonment of the instant application and is accompanied by the attached Petition To Withdraw Application From Issue.					
	C.	The fee due under 37 C.F.R. §1.17(i)(1) is paid as set forth in paragraph 9 below.					
8. []	Disclo	by certify that each item of information contained in this Information sure Statement was cited in a communication from a foreign patent office ounterpart foreign application not more than three months prior to the filing Information Disclosure Statement.					
[]		by certify that no item of information in the Information Disclosure nent filed herewith was cited in a communication from a foreign patent					

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office in a counterpart foreign application or, to my knowledge after making reasonable inquiry, was known to any individual designated in §1.56(c) more than three months prior to the filing of this Information Disclosure Statement.

- 9. [] A check in the amount of \$180.00 is enclosed in payment of the fee due under 37 C.F.R. §1.17(p).
 - [] Charge the fee due under 37 C.F.R. §1.17(i)(1) to Deposit Account No. 19-2814. A DUPLICATE COPY OF THIS SHEET IS ATTACHED.
 - [X]The Commissioner is hereby authorized to charge any additional fees which may be required for this Information Disclosure Statement, or credit any overpayment to Deposit Account No. 19-2814. A DUPLICATE COPY OF THIS SHEET IS ATTACHED.

Respectfully submitted,

Snell & Wilme

Howard I. Sobelman Registration No. 39,038

Dated: 3//1/64

Snell & Wilmer L.L.P. One Arizona Center 400 E. Van Buren

Phoenix, Arizona 85004-2202

Phone: (602) 382-6228 Fax: (602) 382-6070

Email: hsobelman@swlaw.com

PTO/SB/08a (08-03) Approved for use through 07/31/2006. OMB 0651-0031

Inder the Paper	work Reduction Act of	o <u>f 1995</u>	no persons are required to	U.S. Patent and Trademai respond to a collection of informat	rk Office: U.S. DEPARTMENT OF COMMER(ion unless it contains a valid OMB control nur	DE <u>nb</u> er
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		-10	MAR 1 9 2004 6	Application Number	10/708,545	
			GLOSURE 🕺	Filing Date	March 10, 2004	
STA	TEMENT B	YA	PREICANT	First Named Inventor	Michael Berardi, et al	
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Sheet	1	of	8	Attorney Docket Number	60655.3900	

U.S. PATENT DOCUMENTS								
Examiner	Cite	Document Number		Publication Date	Name of Patentee or	Pages, Columns, Lines, Where Relevant Passages or Relevant		
Initials*	No.1	Number	Kind Code	MM-DD-YYYY	Applicant of Cited Document	Figures Appear		
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Examiner Cite		Foreign Patent Document Office ³ Number ⁴ Kind ⁵ (if known)			Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	Τę
/ V F/		СН	CH689070	,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,	08/31/1998	Peter Bresch		
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Δ/E/		EP	0 424 726	Al	10/10/1990	Angewandte Digital Elec.		
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/VF/		JP	2000015288	A	01/18/2000	Hitachi Plant Eng & Constr		
/VF/		JP	2000-40181	A	02/08/2000	Unknown		

Examiner	/Vanel Frenel/	Date	05/10/2008	
Signature		Considered	05/10/2000	

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. Senter Office that issued the document, by the two-letter code (WIPO Standard ST.3). Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Substitu	te for form 1449A/PTO)		Complete if Known		
		D 14		Application Number	10/708,545	
			SCLOSURE	Filing Date	March 10, 2004	
STA	TEMENT B	Y A	PPLICANT	First Named Inventor	Michael Berardi, et al.	
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Sheet	2	of	8	Attorney Docket Number	60655.3900	

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Examiner		Document Number		Publication Date	Name of Patentee or	Pages, Columns, Lines, Where Relevant Passages or Relevant	
Initials*	No.1	Number	Kind Code	MM-DD-YYYY	Applicant of Cited Document	Figures Appear	
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Initials*	No.1	Office ³	Number ⁴	Kind ⁵ (if known)	MM-DD-YYYY	Applicant of Cited Document	Passages or Relevant Figures Appear	L _e
/VF/ ·		PCT	WO 00/10144	A1	02/24/2000	3M Innovative Properties Co		
/VF/		JP	200067312	Α	03/03/2000	IBM		
/VF/		EP	EP 0 984 404	A2	03/08/2000	IBM		
/VF/		PCT	WO 00/38088	Al	06/29/2000	John Klayh		
/VF/		EP	EP 1 016 947	A2	07/05/2000	Texas Instruments Inc.		
/VF/		JP	2000207641	Α	07/28/2000	NCR International Inc		
7VF7		EP	EP 1 039 403	A2	09/27/2000	Citicorp Development Center		\prod
/VF/		JP	2001-5931	Α	01/12/2001	Unknown		
/VF/		PCT	WO 01/04825	Al	01/18/2001	Intermec IP Corp.		
/VF/		PCT	WO 01/15098	Al	03/01/2001	Gamut Interactive		

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STA	TEMENT	BY APPLICANT	First Named Inventor	Michael Berardi, et al.		
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Examiner Initials*	Cite No.1	Document I Number	Number Kind Code (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
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/VF/		PCT	WO 01/15098	Al	03/01/2001	Gamut Interactive		
/VF/		EP	EP 1 104 909	A2	06/06/2001	Smartel S.P.A.		┸┚
/VE/		PCT	WO 01/43095	A2	06/14/2001	Intermec IP Corp.		oxdot
/Vi-/		EP	EP 1 113 387	A2	07/04/2001	Schlumberger Systemes		$oldsymbol{\perp}$
/VF/		PCT	Wo 01/72224	A1	10/04/2001	Wolf Fernlund		
/VF/		JP	2001283122	A	10/12/2001	Dainippon Printing Co., Ltd.		
/VF/		PCT	WO 01/77856	Al	10/18/2001	Brightstreet.com Inc.		$oldsymbol{ol}}}}}}}}}}}}}}}}}}$
/VF/		PCT	WO 01/80473	A2	10/25/2001	Sony Electronics, Inc.		$oldsymbol{ol}}}}}}}}}}}}}}}}}}$
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/VF/		PCT	WO 01/90962	A1	11/29/2001	Grosvenor Leisure Inc.		

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Examiner	/Vanel Frenel/	Date	05/10/2008	
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Substitu	te for form 1449A/F	РТО		Complete if Known			
				Application Number	10/708,545		
			SCLOSURE	Filing Date	March 10, 2004		
STA	TEMENT	BY A	PPLICANT	First Named Inventor	Michael Berardi, et al.		
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Examiner Initials*	Cite No.1	Document Number	Number Z Kind Code (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
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/VF/		PCT	WO 01/95243	A2	12/13/2001	Battelle Memorial Institute		
/VF/		PCT	WO 02/01485	Al	01/03/2002	Smartclic Corporation		
/VF/		PCT	WO 02/13134	A2	02/14/2002	Medmicrochip LC		
7 V F/		PCT	WO 02/21903	Al	03/21/2002	Mario Witte		
/VF/	· ·	EP	EP 1 199 684	A2	04/24/2002	Marconi Commerce Systems		
/VF/		PCT	WO 02/063545	A2	08/15/2002	Sensormatic Electronics Corp		
/VF/		PCT	WO 02/065246	A2	08/22/2002	Stockback Holdings, Inc.		
/VF/		PCT	WO 02/065404	A2	08/22/2002	Stuart Williams		
/VF/		PCT	WO 02/069221	A1	09/06/2002	Frequency Marketing, Inc.		
/VF/		PCT	WO 02/073512	A1	09/19/2002	3M Innovative Properties Co.		

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Substi	tute for form 1449A/PTC)		Complete if Known		
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INF	ORMATION	DIS	SCLOSURE	Filing Date	March 10, 2004	
ST	ATEMENT B	YA	PPLICANT	First Named Inventor	Michael Berardi, et al.	
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/VF/		EP	EP 1 251 450	Al	10/23/2002	NCR International Inc.		
/VF/		PCT	WO 02/086665	A2	10/31/2002	United States Postal Service		
/VF/		PCT	WO 02/091281	A2	11/14/2002	Outsite Networks Inc.		
/VF/		PCT	WO 02/097575	A2	12/05/2002	American Express TRS Co.		
/VF/		PCT	WO 02/101670	A2	12/19/2002	3M Innovative Properties		
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				Application Number	10/708,545		
INF	ORMATIC	ON DIS	SCLOSURE	Filing Date	March 10, 2004		
STA	TEMENT	RY A	PPLICANT	First Named Inventor	Michael Berardi, et al.		
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	(use as man	ny sheets a	as necessary)	Examiner Name	TBA		
Sheet	6	of	8	Attorney Docket Number	60655.3900		

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STATEMENT DI ALL LICANI				Art Unit	TBA		
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Sheet	8	of	8	Attorney Docket Number	60655.3900		

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